

## CONFIDENTIAL

March 3, 2011

Jean Marie Arrigo, PhD 110 Oxford Street Irvine, CA 92612

Dear Dr. Arrigo:

We have now completed our review of your complaint against Dr. Linda S. Estes. Our <u>Rules and Procedures</u> allow a complaint to be opened only if certain conditions are met. (See Part V, Sections 5.4 and 5.5.) On the basis of a review of the information you submitted, we have determined that an ethics case cannot be opened. Consequently, the complaint you have filed against Dr. Estes has been closed.

Although we ordinarily do not disclose the basis for dismissals, we can provide some information regarding the most frequent procedural reasons for dismissals. Complaints may be closed because:

- 1. The alleged behaviors are not prohibited by the Ethics Code, even though the complainant believes that they are or should be.
- The serious concerns of the complainant do not meet cause for action, and a minor point does. Any violation found, on that basis, would not warrant action.
- The allegations are assertions that are not supported by the evidence provided.
- There is a reasonable basis to believe the alleged behavior cannot be proved by a preponderance of the evidence.
- The alleged violations have already been adequately addressed in another forum or are likely to be corrected.

In particular, we note that #3 (the allegations are assertions that are not supported by the evidence provided) was relevant to your complaint. Committee policy does not allow me to provide any further rationale.

We understand that this may be disappointing to you. However, please note that your complaint was thoroughly and carefully reviewed and we took your complaint very seriously. Thank you for bringing this matter to our attention.

Sincerely.

Lindsay Childress-Beatty, JD, PhD

Deputy Director and Director of Adjudication, Ethics Office

